

Exhibit 3

Exhibit 3
Non-Compliant Responses

ID	Party	Claim #	Account Name	Account #	Law Firm	Docket # of Response to Customer Motion	Date of Response to Customer Motion	Reason for Non-Compliance
1	SANDRA BUSEL REV TRUST; JOEL BUSEL TRUSTEE	008940	SANDRA BUSEL REV TRUST	1B0094	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
2	JOEL BUSEL REV TRUST; SANDRA BUSEL TRUSTEE	008941	JOEL BUSEL REV TRUST	1B0095	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
3	JOSEPH HANSON	003024	RYE SELECT BROAD MARKET PRIME FUND, LP	1C1260	PRO SE FILING	2578	7/9/2010	DID NOT OBJECT TO TRUSTEE'S DETERMINATION
4	KATHRYN M. OUGLEY	003504	RYE SELECT BROAD MARKET PRIME FUND, LP	1C1260	PRO SE FILING	2521	7/6/2010	DID NOT OBJECT TO TRUSTEE'S DETERMINATION
5	DONALD A BENJAMIN	000141	DONALD A BENJAMIN	1CM006	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
6	ALAN H ROSENTHAL & LINDA S ROSENTHAL TEN BY THE ENTIRETY	005114	ALAN H ROSENTHAL	1CM246	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
7	LINDA S WALDMAN	000569	LINDA S WALDMAN	1CM300	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
8	ARMAND LINDENBAUM	008392	ARMAND LINDENBAUM	1CM304	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
9	NTC & CO. FBO DONALD A BENJAMIN	000980	NTC & CO.	1CM402	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
10	THE ALLEN FAMILY TRUST DATED 11/30/89	000105	THE ALLEN FAMILY TRUST	1CM406	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
11	NTC & CO. FBO PAUL ALLEN (47025)	000106	NTC & CO.	1CM407	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
12	ROBERT F FERBER	011327	ROBERT F FERBER	1CM524	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
13	DAVID A WINGATE	009781	DAVID A WINGATE	1CM581	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
14	TRACY D KAMENSTEIN	000189	TRACY D KAMENSTEIN	1CM596	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
15	SLOAN G KAMENSTEIN	000177	SLOAN G KAMENSTEIN	1CM597	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
16	MARTIN LIFTON	009484	NTC & CO.	1CM649	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
17	ERIC F. SALTZMAN, GENERAL PARTNER OF BLACK RIVER ASSOCIATES LP	006048	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
18	EMS TRUST	013707	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
19	JOAN SALTZMAN	013708	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
20	MARIAN SALTZMAN	013709	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
21	BLUE RIVER ASSOCIATES LP	013710	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
22	SALTZMAN GROUP	013711	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
23	IMBS TRUST	013712	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
24	ROBERT SALTZMAN	013713	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
25	IMBS UNITRUST	013714	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
26	MARIAN SALTZMAN 76 TRUST	013715	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
27	ROBERT SALTZMAN 76 TRUST	013716	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
28	XYLON SALTZMAN 76 TRUST	013717	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
29	GABRIEL SALTZMAN TRUST	013718	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
30	IAN SALTZMAN TRUST	013719	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
31	MICHAEL SALTZMAN TRUST	013720	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
32	SALTY PARTNERS	013721	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
33	SALTZMAN FOUNDATION	013722	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
34	CHLOE SALTZMAN 80 TRUST	013723	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
35	CHLOE SALTZMAN 81 TRUST	013724	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
36	SAIN PARTNERS	013725	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
37	SALE PARTNERS	013726	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS

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38	ERIC SALTZMAN	013727	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
39	ARNOLD SALTZMAN	013728	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
40	CAROL KAMENSTEIN	000184	CAROL KAMENSTEIN	1CM914	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
41	CHAITMAN/SCHWELB LLC	000401	CHAITMAN/SCHWELB LLC	1CM921	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
42	ROGER M PESKIN AND DIANE PESKIN JT WROS	003301	ROGER M PESKIN NTC & CO.	1CM948	BECKER & POLIAKOFF, LLP	2567, 2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
43	THE WHITMAN PARTNERSHIP BERNARD WHITMAN	010449	THE WHITMAN PARTNERSHIP	1EM256	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
44	THOMAS A SHERMAN (IRA)	001431	NTC & CO.	1EM378	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
45	NTC & CO. FBO MARK S FELDMAN (93304)	002834	NTC & CO.	1F0072	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
46	SONDRA FEINBERG NORMAN FEINBERG JT WROS	001018	SONDRA FEINBERG	1F0141	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
47	NANCY FELDMAN	010459	NANCY FELDMAN	1F0152	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
48	SONDRA FEINBERG NORMAN FEINBERG JT WROS	001017	SONDRA FEINBERG	1F0189	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
49	CRESCENT ADVISORS LTD	012206	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2705	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
50	RACHEL AVIV	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2708	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
51	RACHEL AVIV & DAFNA HARLEV	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2704	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
52	RACHEL & DORON AVIV	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2732	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
53	RACHEL & DANA AVIV	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2728	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
54	RACHEL AVIV & DALIT KREMER	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2737	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
55	DAFNA & MICHAEL FARLEV	014107	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2731	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
56	ESTHER ZIV AV	014109	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2696	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
57	YOSEPH & RENA YECHIELI	014110	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2741	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
58	YOSSI & Yael ZVILBERG	014111	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2668	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
59	ZAFRIR & MIRA KOCHANOVSKY	014112	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2721	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
60	SHLOMO & MIRIAM ABRAHAMER	014113	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2665	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
61	JEANNETTE GOLDSTEIN & RUTH KEREM	014114	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2739	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
62	JEANNETTE GOLDSTEIN & ARIELLA SHKEDI	014115	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2742	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
63	M.T.B.N.	014116	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2720	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
64	JOSEPH AND RUTH SHAPIRA	014117	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2716	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
65	CAPE VERDE GROUP LTD.	014118	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2733	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
66	STIRS INTERNATIONAL INVESTMENTS LTD.	014119	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME FUND SOLUTION	1FN094	PRO SE FILING	2735	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
67	CARLA GINSBURG M D	002414	CARLA GINSBURG M D	1G0220	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
68	MARTIN R. HARNICK AND STEVEN P. NORTON PARTNERS	001924	MARTIN R HARNICK	1H0062	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
69	MARTIN R HARNICK & STEVEN P NORTON PARTNERS	001925	MARTIN R HARNICK	1H0123	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
70	HOWARD ISRAEL	005500	HOWARD ISRAEL	1I0009	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
71	SALLIUS KAJOTA	001095	SALLIUS KAJOTA	1K0206	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
72	MARTIN LIFTON C/O THE LIFTON COMPANY LLC	010964	MARTIN LIFTON	1KW162	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
73	ELLEN DIAMOND WALDMAN	003232	THE LAMBETH CO	1L0002	PRO SE FILING	2683	7/13/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
74	RONNIE SUE AMBROSINO	004082	RONNIE SUE AMBROSINO	1L0143	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS

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75	REALTY NEGOTIATORS INC DEFINED BENEFIT PENSION PLAN	009614	REALTY NEGOTIATORS INC	1R0096	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
76	JEFFREY SHANKMAN	010631	JEFFREY SHANKMAN	150060	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
77	MIKE STEIN	002376	MIKE STEIN	150146	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
78	NTC & CO. FBO JEFFREY SHANKMAN (111772)	002908	NTC & CO.	150277	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
79	HERBERT SILVERA	004588	HERBERT SILVERA	150475	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
80	JEFF AND KAREN HANSEN FAMILY TRUST	003506	RYE SELECT BROAD MKT FUND LP	1T0027	PRO SE FILING	2650	7/12/2010	DID NOT OBJECT TO TRUSTEE'S DETERMINATION
81	RICHARD H. PAUKER AND JOYCE M. PAUKER	006613	RYE SELECT BROAD MKT FUND LP	1T0027	PRO SE FILING	2648	7/12/2010	DID NOT OBJECT TO TRUSTEE'S DETERMINATION
82	GUNTHER UNFLAT & MARGARET UNFLAT JT WROS	000734	GUNTHER UNFLAT	1U0018	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
83	NTC & CO. FBO GUNTHER K UNFLAT (40366)	000732	NTC & CO.	1U0018	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
84	TRIANGLE PROPERTIES #39	009611	TRIANGLE PROPERTIES #39	1Y0005	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
85	ROBERT YAFFE	009613	NTC & CO.	1Y0007	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
86	ROBERT YAFFE	009612	ROBERT YAFFE	1Y0010	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
87	LEONARD FORREST REV TRUST	000299	LEONARD FORREST REV TRUST	1ZA013	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
88	CYNTHIA ARENSON & THEODORE ARENSON JT WROS	000241	CYNTHIA ARENSON	1ZA020	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
89	MISHKIN FAMILY TRUST	004174	MISHKIN FAMILY TRUST	1ZA030	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
90	MARVIN ENGBARDT RET PLAN	000318	MARVIN ENGBARDT RET PLAN	1ZA041	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
91	MORREY BERKOWITZ KEOGH ACCT	000362	MORREY BERKOWITZ KEOGH ACCT	1ZA043	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
92	SAM PFEFFER AND SHIRLEY PFEFFER AND PAMELA PFEFFER T/J/C	000881	SAM PFEFFER	1ZA087	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
93	HANNAH P NORMAN REVOCABLE TRUST DATED 4/7/13/92	008594	HANNAH P NORMAN	1ZA146	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
94	GROSS ASSOCIATES C/O HERB GROSS	002972	GROSS ASSOCIATES	1ZA170	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
95	SHIRLEY K STONE MELVIN M STONE TRUSTEES SHIRLEY K STONE REV TST 8/9/05	000553	SHIRLEY K STONE	1ZA208	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
96	MARVIN J PLATEIS OR ROBERTA PLATEIS JT WROS	000319	MARVIN J PLATEIS	1ZA210	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
97	ALAN ENGLISH AND RITA ENGLISH JT WROS	002132	ALAN ENGLISH	1ZA220	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
98	R R ROSENTHAL ASSOCIATES	000206	R R ROSENTHAL ASSOCIATES	1ZA238	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
99	MILLER TRUST PARTNERSHIP	002293	MILLER TRUST PARTNERSHIP	1ZA260	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
100	CAROL NELSON AND STANLEY NELSON JT WROS	000480	CAROL NELSON	1ZA284	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
101	ALICE SCHINDLER	001146	ALICE SCHINDLER	1ZA294	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
102	ELAINE R SCHAFFER OR CARLA R HURSHORN TTEES	009540	ELAINE R SCHAFFER	1ZA309	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
103	LESLIE GOLDSMITH	000041	LESLIE GOLDSMITH	1ZA328	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
104	SUSAN GREER	000996	SUSAN GREER	1ZA336	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
105	ELAINE GLODSTEIN REV TRUST DTD 11/13/97	004077	ELAINE GLODSTEIN REV TST	1ZA415	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
106	DAVID N GLODSTEIN & SUSAN L GLODSTEIN JT WROS	005069	DAVID N GLODSTEIN	1ZA496	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
107	WDG ASSOCIATES INC RETIREMENT TRUST	002036	WDG ASSOCIATES INC	1ZA538	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
108	KUNTZMAN FAMILY LLC	002044	KUNTZMAN FAMILY LLC	1ZA539	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
109	JOYCE BRILLANTE & MICHAEL BRILLANTE FAMILY ACCT	001158	JOYCE BRILLANTE	1ZA565	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
110	HELENE SAREN-LAWRENCE	000950	HELENE SAREN-LAWRENCE	1ZA620	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
111	TOBY HARWOOD	005666	TOBY HARWOOD	1ZA716	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
112	BURT MOSS & ASSOCIATES, INC. 401K PLAN	003775	P & S ASSOCIATES GEN PTNRSHIP	1ZA873	SCHOEPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
113	BURT & SUSAN MOSS, TEN ENT	003783	P & S ASSOCIATES GEN PTNRSHIP	1ZA873	SCHOEPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
114	BURT MOSS & ASSOCIATES, INC. 401K PLAN	004950	P & S ASSOCIATES GEN PTNRSHIP	1ZA873	SCHOEPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
115	BURT & SUSAN MOSS	005343	P & S ASSOCIATES GEN PTNRSHIP	1ZA873	SCHOEPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
116	BURTON HAROLD MOSS INDIVIDUAL RETIREMENT ACCT	003782	S & P ASSOCIATES GEN PTNRSHIP	1ZA874	SCHOEPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
117	BURTON HAROLD MOSS INDIVIDUAL RETIREMENT ACCT	004949	S & P ASSOCIATES GEN PTNRSHIP	1ZA874	SCHOEPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
118	THE GABA PARTNERSHIP	002307	THE GABA PARTNERSHIP	1ZA901	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
119	STEPHEN EHRLICH & LESLIE EHRLICH	010630	STEPHEN EHRLICH	1ZA911	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
120	ROBERT JVOGEL & OR BARBARA JVOGEL JT WROS	001002	ROBERT JVOGEL	1ZA931	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
121	THE MILLER PARTNERSHIP	002285	THE MILLER PARTNERSHIP	1ZA977	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
122	BRAD E AVERGON & CYNTHIA B AVERGON JT WROS	000264	BRAD E AVERGON	1ZB094	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS

Exhibit 3
Non-Compliant Responses

ID	Party	Claim #	Account Name	Account #	Law Firm	Docket # of Response to Customer Motion	Date of Response to Customer Motion	Reason for Non-Compliance
123	ROBERT AVERGON & JACQUELINE AVERGON JT WROS	000225	ROBERT AVERGON	1ZB095	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
124	CARLA SZYMANSKI REV 1/51 DATED 11/25/97	013612	CARLA SZYMANSKI	1ZB352	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
125	KENNETH M KOHL & WYRNA KOHL JT WROS	000204	KENNETH M KOHL	1ZB386	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
126	ANNETTE JUNGREIS AND IRVING JUNGREIS TRUSTEES	005059	ANNETTE JUNGREIS	1ZB303	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
127	IRVING JUNGREIS AND ANNETTE JUNGREIS TRUSTEES	005057	IRVING JUNGREIS	1ZB304	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
128	IRVING JUNGREIS TRUST 5/13/93	011793	WILLIAM I BADER	1ZB319	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
129	THE ESTELLE HARWOOD FAMILY LIMITED PARTNERSHIP	005664	THE ESTELLE HARWOOD FAMILY	1ZB352	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
130	THE SANFORD HARWOOD FAMILY LIMITED PARTNERSHIP	005665	THE SANFORD HARWOOD FAMILY	1ZB353	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
131	HENRY R BESSELL TRUST U/D/T DATED 10/10/00	001497	HENRY R BESSELL TRUST	1ZB407	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
132	WOHL GEORGE PARTNERS LP	003963	WOHL GEORGE PARTNERS LP	1ZB430	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
133	BERKOWITZ BLAU FOUNDATION INC	002605	BERKOWITZ BLAU FOUNDATION INC	1ZB453	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
134	MAUREEN ANNE EBEL	002582	MAUREEN ANNE EBEL	1ZB463	BECKER & POLIAKOFF, LLP	2567; 2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
135	MICHAEL EPSTEIN & JOAN B EPSTEIN JT WROS	005707	MICHAEL EPSTEIN	1ZB471	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
136	RONALD GENE WOHL CREDIT SHELTER TRUST	003975	RONALD GENE WOHL	1ZB499	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
137	DARA NORMAN SIMONS	008608	DARA NORMAN SIMONS	1ZB501	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
138	NTC & CO. FBO WILLIAM S MISHKIN (22186)	004167	NTC & CO.	1ZB007	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
139	NTC & CO. FBO ELAINE RUTH SCHAFER 21569	009541	NTC & CO.	1ZB013	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
140	THERESA ROSE RYAN	000814	NTC & CO.	1ZB039	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
141	SANFORD HARWOOD (IRA)	005661	NTC & CO.	1ZB066	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
142	NTC & CO. FBO ANNA COHN (89349)	009769	NTC & CO.	1ZB115	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
143	NTC & CO. FBO RUSSELL DUSEK (82010)	002927	NTC & CO.	1ZB131	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
144	NTC & CO. FBO ROBERT HALIO (26849)	002007	NTC & CO.	1ZB140	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
145	SOL GANES	011246	NTC & CO.	1ZB173	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
146	RUSSELL L. DUSEK II	002879	NTC & CO.	1ZB222	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
147	FBO RUSSELL DUSEK III 44487	002878	NTC & CO.	1ZB273	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
148	MAUREEN ANNE EBEL	008831	NTC & CO.	1ZB318	BECKER & POLIAKOFF, LLP	2567; 2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
149	NTC & CO. FBO GRACE MISHKIN (29315)	009157	NTC & CO.	1ZB003	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
150	CERTAIN OF THE CLIENTS OF AXA PRIVATE MANAGEMENT				MAYER BROWN LLP	2538	7/9/2010	ADDENDUM A, WHICH PURPORTS TO IDENTIFY CERTAIN CUSTOMERS OF AXA PRIVATE MANAGEMENT, BUT SUCH "AXA
151	AARVEE LTD.				CROWELL & MORING	2590	7/12/2010	PM CUSTOMERS" ARE NOT IDENTIFIED
152	PARASRAM DARYANI, NEELAM P. DARYANI, VIKAS P. DARYANI, NIKESH P. DARYANI				CROWELL & MORING	2590	7/12/2010	NO CLAIM FILED